1754

Date: September 7, 2004

I hereby certify that, on the date indicated above, I deposited this paper with identified attachments and/or fee with the U.S. Postal Service and that it was addressed for delivery to the Commissioner for Patents, P.O. Box 1450, Alexandria VA, 22313-

1450 by "First Class Mail" service.

Donald S. Prater Name (Print)

Signature

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| ire Application of: BROWN et al.    | ) | /                        |
|-------------------------------------|---|--------------------------|
| <i>y</i>                            | ) | Examiner: BOS, Steven J. |
| Application No.: 10/047,440         | ) |                          |
|                                     | ) | Group Art Unit: 1754     |
| Filed: January 14, 2002             | ) |                          |
|                                     | ) | Confirmation No.: 4701   |
| Docket No.: 542286CON (3600-226-01) | ) |                          |

For: PROCESS FOR PRODUCING NIOBIUM AND TANTALUM COMPOUNDS

## THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 CFR 1.97(b)

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

September 7, 2004

Sir:

The attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached Form PTO-1449. One copy of each of these documents is attached. This Supplemental Information Disclosure Statement is being submitted before issuance of an Office Action on the merits (after the filing of the Request for Continued Examination) and before any Final Office Action or Notice of Allowance. Please note that the Japanese versions were previously submitted to the United States Patent and Trademark Office in an Information Disclosure Statement filed June 8, 2004, with the Request for Continued Examination.

Should a first Office Action cross in the mail with the filing of this Supplemental Information Disclosure statement, then applicants respectfully petition under 37 C.F.R.§ 1.97(c) to consider the documents set forth in the Information Disclosure Statement.

Second Supplemental Information Disclosure Statement

U.S. Patent Application No. 10/047,440

The above information is presented so that the Patent and Trademark Office can, in the first

instance, determine any materiality thereof to the claimed invention. See 37 CFR 1.104(a) and

1.106(b) concerning the PTO duty to consider and use any such information. It is respectfully

requested that the information be expressly considered during the prosecution of this application,

and that the documents cited in the attached Form PTO-1449 be made of record therein and appear

on the first page of any patent to issue therefrom.

This submission does not represent that a search has been made or that no better art exists

and does not constitute an admission that each or all of the listed documents are material or

constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in

this application and applicants determine that the cited documents(s) do not constitute "prior art"

under United States law, applicants reserve the right to present to the office the relevant facts and

law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of

the disclosed invention over the listed documents, should one or more of the documents be applied

against the claims of the present application.

If there are any fees due in connection with the filing of this response, please charge the fees

to Deposit Account 03-0060.

Respectfully submitted,

Luke A. Kilyk

Atty. Docket No.: 542286CON (3600-226-01)

KILYK & BOWERSOX, P.L.L.C.

53 A East Lee Street

Warrenton, VA 20186

Tel.:

(540) 428-1701

Fax:

(540) 428-1720

Enclosures:

PTO-1449 w/ 5 Documents

-2-

| Atty. Docket N    | Atty. Docket No.: 542,286CON (3600-226-01)           |  |                                     | 10/047,4                                   | 10/047,440      |                 |             |  |  |
|-------------------|--|--|-------------------------------------|--|-----------------|-----------------|-------------|--|--|
| Applicant:        | BROWN  |  | , a                                 | ,  |                 |                 |             |  |  |
| Filing Date:      | January 14, 2002                                     | Group Art Unit:  | 1754                                | 1754                                       |                 |                 |             |  |  |
|                   |  | U.S. I   | PATENT DOCUME                       | NTS  |                 | <del></del>     |             |  |  |
| Examiner Initial* | Document<br>Number                                   | Date   | Name                                | Class Sub Filing Date Class If Appropriate |                 |                 |             |  |  |
| P 0 8 ZUK         |  |  |                                     |  |                 |                 |             |  |  |
|                   | Document<br>Number                                   | FOREIG Date  | N PATENT DOCUM                      | AENTS Class                                | Sub<br>Class    | Trans           | lation      |  |  |
|                   | 8-12333  | 01/16/96   | Japan                               |  | Class           | Yes<br>Yes      | No          |  |  |
|                   | 7-101726   | 04/18/95   | Japan                               | -  |                 | Yes             |             |  |  |
|                   |  |  |                                     |  |                 |                 |             |  |  |
|                   | OTHER DOC  | UMENTS (Incl   | uding Author, Title,                | Date, Pertine                              | nt Pages, Etc.  |                 |             |  |  |
|                   | Kawakita et al., "Some translation)                  | considerations o   | n Tapping Compactio                 | n," Milling No                             | o. 19, pages 10 | 00-105 (1974)   | (English    |  |  |
|                   | Kimio, "Packing and b                                | ulk density of po  | wder," Milling No. 18               | s, pages 112-12                            | 25 and 131 (19  | 973) (English T | ranslation  |  |  |
|                   | Iwanami's Dictionary o                               | of Physics and Ch  | nemistry, 3 <sup>rd</sup> Ed., page | s 514-516, and                             | d 517 (1971) (  | Partial English | Translatio  |  |  |
|                   |  |  | WF                                  |  |                 |                 |             |  |  |
| Examiner          |  | West of the second seco | Da                                  | te Considered                              |                 |                 |             |  |  |
| *Examiner:        | Initial if reference consider in conformance and not | lered, whether or  | not citation is in confo            | ormance with N                             | MPEP 609; dra   | w line through  | citation if |  |  |
| Form PTO 1449     | <del> </del>   |  | <del></del>                         |  |                 | J.S. Department | of Comm     |  |  |